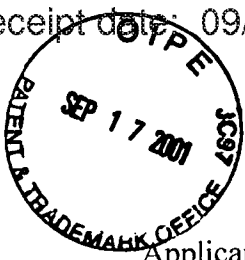


Receipt date: 09/17/2001



09546031 - 0505-4001
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0505-4001
2161

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Christopher KEITH

Filed: April 10, 2000

Art Unit: 2768

Examiner: TBA

Serial No.: 09/546,031

Title: TRADING SYSTEM WITH ELFS AND UMPIRES

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CERTIFICATE OF MAILING

I hereby certify that the following attached paper(s) and/or fee

1. First Supplemental Information Disclosure Statement
2. PTO Form 1449
3. Copies of 37 references
4. Return receipt postcard

are being deposited with the United States Postal Service with sufficient postage as first class mail under 37 C.F.R. § 1.8 on the date indicated below in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231.

Date: September 14, 2001

Brenda Pomerance

CORRESPONDENCE ADDRESS:

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Receipt date: 08/17/2001

09546031 - GAU: 3696

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0505-4001



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Christopher KEITH

Filed: April 10, 2000

Art Unit: 2768

Examiner: TBA

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Title: TRADING SYSTEM WITH ELFS AND UMPIRES

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**FIRST SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§ 1.56, 1.97 and 1.98. The items listed on Form PTO 1449, a copy of which is enclosed, may be pertinent to the above-referenced application and are made of record to assist the Patent Office in its examination of this application. The Examiner is requested to fully consider the items and independently ascertain their teaching.

No fee is due since this Information Disclosure Statement is being filed

☒ before the mailing date of a first Office Action on the merits.

Respectfully submitted,

Date: September 14, 2001

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